



EV369763734

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. ....09/610,160  
Filing Date ..... July 5, 2000  
Inventor..... Bruce Horn et al.  
Group Art Unit .....3625  
Examiner ..... Brown, Timothy M.  
Attorney's Docket No. ....MK1-003US  
Confirmation No. ....4511  
Title: System and Method for Determining and Tracking Performance Metrics for  
Individual Investors

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**RESPONSE TO OFFICE ACTION MAILED AUGUST 20, 2003**

To: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

From: Steven R. Sponseller (Tel. 509-324-9256; Fax 509-323-8979)  
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MAR - 2 2004

**GROUP 3600****Claims pending:**

- At time of the Office Action: Claims 1-24
- After this Response: Claims 1-31

**Canceled claims:** None**Amended claims:** 1, 2, 6, 7, 13, 16, 19 and 20**New Claims:** 25-31

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